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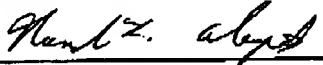
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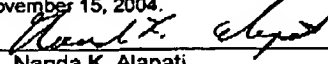
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/559,320	
	Filing Date	4/27/2000	
	First Named Inventor	McCABE, et al.	
	Group Art Unit	3624	
	Examiner Name	Daniel S. Felton	
Total Number Of Pages In This Submission	11	Attorney Docket No.	B208 1010.1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Declaration of Daniel J. McCabe plus 7 pages of attachments
Remarks <input type="checkbox"/>		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT		
Firm Or Individual Name	Customer No. 26158 Womble Carlyle Sandridge & Rice, LLC P.O. Box 7037 Atlanta, Georgia 30357-0037 703-394-2216	
Signature	 Nanda K. Alapati	39,893
Date	November 15, 2004	

CERTIFICATE OF FACSIMILE TRANSMITTAL	
I hereby certify that this correspondence is being sent by facsimile to the United States Patent and Trademark Office, at fax number 703-872-9306 (USPTO Customer Window) on November 15, 2004.	
 Nanda K. Alapati	
<small>Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.</small>	

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Application No. 09/559,320  
Transmittal of Declaration of Daniel J. McCabe under 37 CFR 1.132

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Application No.: 09/559,320	Confirmation No. 1932
Application of: McCabe et al.	Group Art Unit: 3624
Filing Date: April 27, 2000	Examiner: Daniel Felten
Title: Derivative Securities Trading Product Utilizing Subsets of Indices or Portfolios	Docket No. B208 1010.1 Customer No. 26158

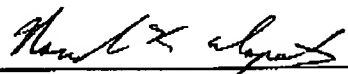
TRANSMITTAL OF  
DECLARATION OF DANIEL J. MCCABE UNDER 37 CFR 1.132Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

Further to the amendment filed on September 20, 2004, and in support of a showing of secondary considerations of non-obviousness of the present invention, please find attached hereto the Declaration of co-inventor Daniel J. McCabe under 37 CFR 1.132. It is requested that the attached Declaration, attesting to the commercial success of the present invention, be considered with the aforementioned amendment and arguments presented therein.

No fee is believed to be due for this submission. Should a fee be required, the Commissioner is authorized to charge any such fee to Womble Carlyle's Deposit Account No. 09-0528.

Respectfully Submitted,

Date: November 15, 2004Nanda K. Alapati (Reg. No. 39,893)  
Customer ID No. 26158  
Phone: 703-394-2216

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